THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Peter R. Oeltgen, Paul D. Bishop,

Craig J. McClain, Shirish Barve

Method for Treating Cytokine Mediated Hepatic Injury

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<u>CERTIFICATE OF MAILING BY EXPRESS MAIL - 37 CFR 1.10</u>

'Express Mail' mailing label number: EL887267854US Date of Deposit: October 5, 2001

ELAA7267854US

I certify that this paper or fee (along with the enclosures noted herein) is being deposited with the United States Postal Service 'Express Mail Post Office to Addressee' service under 37 CFR 1.10 on the above date and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

> (person mailing paper) Christina Rodgers

UTILITY PATENT APPLICATION TRANSMITTAL

Box Patent Application

Assistant Commissioner for Patents

Washington, D.C. 20231

This is a request for filing, under 37 CFR § 1.53(b), a(n):

- ☑ Original (non-provisional) application.
- ☐ Divisional of prior application Serial No. __, filed on __.
- Continuation of prior application Serial No. __, filed on ___.
- ☐ Continuation-in-part of prior application Serial No. ___, filed on ___.

PRELIMINARY AMENDMENT/CALCULATION OF FEES

- □ Please cancel claims __ without prejudice, and prior to calculating the fees. __ total claim(s), of which __ is(are) independent, is(are) pending after the amendment.
- □ Please enter the enclosed preliminary amendment identified below prior to calculating the fees. ___ total claim(s), of which __ is(are) independent, is(are) pending after the amendment.
- Small Entity Status is Requested

The Fees are Calculated as Follows:

| Fee: | Number of Claims: | In Excess of: | Extra: | At Rate: | Amount: |
|-----------------------------|-----------------------|---------------|--------|----------|----------|
| Total Claims | 11 | 20 | 0 | \$18 | \$0.00 |
| Independent Claims | 3 | 3 | 0 | \$80 | \$0.00 |
| MULTIPLE DEPENDEN | IT CLAIM FEE | | | | |
| BASIC FEE | | | | | \$740.00 |
| TOTAL OF ABOVE CALCULATIONS | | | | | \$740.00 |
| REDUCTION BY 50% F | OR FILING BY SMALL EN | TITY | | | |
| TOTAL | | | | | \$740.00 |

ENCLOSURES

| | ENGLOGORES |
|-----------|--|
| × | Utility Patent Application Transmittal Form (in duplicate) containing Certificate of Mailing By Express Mail Under 37 CFR 1.10. |
| × | Return Postcard. |
| <u>AP</u> | PLICATION PAPERS |
| × | Utility Patent Application, with: cover sheet, <u>11</u> page(s) specification (including <u>11</u> total claim(s), of which <u>3</u> is(are) independent), and <u>1</u> page(s) abstract. |
| | Drawings: sheet(s) of drawings (total figure(s)). |
| | Microfiche Computer Program (Appendix). |
| × | Nucleotide and/or Amino Acid Sequence, including (all are necessary): Computer Readable Copy, Paper Copy (identical to computer copy), and Statement verifying identity of copies. |
| × | An <u>Executed</u> Declaration, Power of Attorney and Petition Form. |
| | Copy of Executed Declaration, Power of Attorney and Petition Form from prior application identified above. |
| | Certified Copy of priority document(s) identified as attached above. |
| <u>AD</u> | DITIONAL PAPERS |
| Ø | Assignment to <u>University of Kentucky Research Foundation</u> , Recordation Cover Sheets (Form PTO-1595) |
| × | Assignment to ZymoGenetics , Recordation Cover Sheets (Form PTO-1595) |
| | Preliminary Amendment (to be entered prior to calculation of fees) |
| | Information Disclosure Statement, sheet(s) Form PTO-1449, U.S. Patent Reference(s), Foreign Patent Reference(s) and Other Reference(s) |

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□ Other: __

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- ☑ A Check of \$740.00 for the filing fee.
- ☑ A Check of \$40.00 for Assignment recordation fee.
- ☑ A Check of \$40.00 for Assignment recordation fee.

DEPOSIT ACCOUNT AUTHORIZATION

- ☐ Please charge Deposit Account No. <u>23-3000</u> in the amount of __.
- ☑ The Commissioner is authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 23-3000. A duplicate of this transmittal is attached.
- \Box THE PAYMENT OF FEES IS BEING DEFERRED.

Respectfully Submitted,

WOOD, HERRON & EVANS, L.L.P. 2700 Carew Tower 441 Vine St. Cincinnati, Ohio 45202 (513) 241-2324

/cmp

Beverly A. Lyman Reg. No. 41,961

Express Mail No: EL887267854US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.:

Unknown

Filed:

October 5, 2001

Inventor(s):

Peter R. Oeltgen et al.

For (title):

METHOD FOR TREATING CYTOKINE

MEDIATED HEPATIC INJURY

Atty Docket:

ZYM-09US

Cincinnati, Ohio 45202

October 5, 2001

Box SEQUENCE Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

STATEMENT UNDER 37 C.F.R. §1.821(f)

The information recorded in computer readable form of United States Patent Application, Express Mail No. EL887267854US, filed October 5, 2001, and the paper copy of same are identical to the written sequence listing contained in the above-referenced application and contain no new matter.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

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